

**NOV 13 2007**



**HAMRE, SCHUMANN,  
MUELLER & LARSON, P.C.**  
AN INTERNATIONAL INTELLECTUAL PROPERTY LAW FIRM

FAX TRANSMISSION November 13, 2007

TO: Mail Stop: AMENDMENT  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

FROM: Curtis B. Hamre

OUR REF: 10873.1384US01

TELEPHONE: (612) 455.3800

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If all pages are NOT received, please call us at 612.455.3800 or fax us at 612.455.3801.

Title of Document: **Information Disclosure Statement (3 pages), Form 1449 (1 page), 1  
reference (17 pages), copy of Chinese Office Action (12 pages)**

Applicant: KITAOKA, et al.  
Serial No.: 10/758815  
App. Filed: January 16, 2004  
Group Art No.: 2813  
Confirmation No.: 6582

Please charge any additional fees or credit overpayment to Deposit Account No. 50-3478. Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate.

By: *Curtis B. Hamre*

Name: Curtis B. Hamre

Reg. No.: 29,165

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on the date shown below.

Peggy J. Kerkhove

*Peggy J. Kerkhove*  
Signature

November 13, 2007

Date

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**NOV 13 2007**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: KITAOKA, et al. Examiner: S. McCall Shepard  
Serial No.: 10/758815 Group Art Unit: 2813  
Filed: January 16, 2004 Docket: 10873.1384US01  
Title: METHOD OF MANUFACTURING GROUP III NITRIDE SUBSTRATE

CERTIFICATE UNDER 37 CFR 1.6(d): I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on November 13, 2007.

By: 

Name: Peggy Kerth

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner. The reference was recently cited in a Chinese Office Action mailed August 17, 2007. A copy of the office action is enclosed. US 5,868,837, which is cited in the office action, was previously cited in an IDS filed February 14, 2004. US 6,270,569, which is cited in the office action, was previously cited in an IDS filed April 18, 2006. The English equivalent of JP 2002-009004 (US 2003/143771), which is cited in the office action was previously cited by the Examiner in an U.S. Office Action mailed July 16, 2007. Copies of any foreign patent documents or "Other Documents" are enclosed.

A concise explanation of the relevance of each non-English language document or other information is as follows (37 C.F.R. §(a)(3)):

An English abstract has been included for the cited Japanese reference.

In accordance with the provisions of 37 C.F.R. §1.97, this statement is being filed (CHECK ONE):

- ☐ (1) within three (3) months of the Filing Date, before the mailing date of a First Office Action on the merits, or before the mailing date of a First Office Action on the merits after the filing of a request for continued examination under 37 C.F.R. §1.114; or
- ☒ (2) after the period defined in (1) but before the mailing date of a Final Rejection or Notice of Allowance, and

NOV 13 2007

- ☐ the requisite Statement is below, OR
- ☐ the requisite fee of \$180.00 under Rule 1.17(p) is included herein, or
- ☐ (3) after the mailing date of a Final Rejection or Notice of Allowance but on or before the payment of the Issue Fee, AND the requisite Statement is below AND the requisite fee of \$180.00 under Rule 1.17(p) is included herein.

**STATEMENT**

Applicants hereby state that:

- ☒ Each item of information contained in the Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart application or by the USPTO in a related application not more than three months prior to the filing date of the Information Disclosure Statement

- ☐ If this box is checked, Applicant provides the following:

**Certification Under 37 C.F.R. §1.704(d)**

In accordance with 37 C.F.R. §1.704(d), the undersigned hereby certifies that each item listed on the enclosed Form 1449 was first cited in a communication from a foreign patent office in a counterpart application, and that this communication was not received by any individual designated in 37 C.F.R. §1.56(c) more than thirty (30) days prior to the filing of this Information Disclosure Statement.

- ☐ The Examiner is hereby advised of the following co-pending U.S. applications. A copy of each U.S. patent application publication (if published) or application (if not published) is enclosed.

Application No.Filing DateGroup

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.


**FEE AUTHORIZATION**

Should any fee associated with the submission of this paper not be attached hereto as a check, the Commissioner is authorized to charge the missing fee to our Deposit Account, No. 50-3478. Any overpayments should be credited to said Deposit Account.

Respectfully submitted,

HAMRE, SCHUMANN, MUELLER &  
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Dated: November 13, 2007

By:   
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Reg. No. 29,165

DPM/CBH/pjk

